

IN THE MATTER OF the Certified General Accountants of Ontario

AND IN THE MATTER OF a complaint against Kaaming Wa

BETWEEN:

THE DISCIPLINE COMMITTEE

- and -

WILLIAM BATTRAM

DECISION OF THE CGAO PROFESSIONAL CONDUCT TRIBUNAL

Pursuant to Article 9, s.9 of By Law Four, the Tribunal hereby accepts the resolution of the complaint proposed by the parties, as outlined in Janice Charko's letter to William Battram dated June 4, 2007.

Dated this 11 day of July, 2007

A handwritten signature in black ink, appearing to read "D. Alan Jones", is written over a horizontal line. The signature is fluid and cursive.

D. Alan Jones



Certified General
Accountants of Ontario

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June 4, 2007

Mr. William Battram, CGA

File 2006/2007-016
Registered Mail
Regular Mail

Dear Mr. Battram,

The Discipline Committee met on May 10, 2007 and reviewed in its entirety the conduct matter(s) pertaining to you that emanated from an investigation of a complaint made by Rhonda Lynn Mertens. The committee found that the investigation discloses an apparent infraction of the *Code of Ethical Principles and Rules of Conduct*, and more specifically, the following rule found in the *Code*:

R201 Confidentiality

A member shall not disclose or use any confidential information acquired as a result of professional or business relationships without proper and specific authority or except as described in Rules R201.1 and R201.2.

This finding was made on the basis that:

1. You took an action that resulted in confidential information of a client being divulged to a person not authorized to receive the information.

In accordance with the procedures outlined in By-Law Four, Article 9, Paragraphs 7(a) and 9, the committee proposes the following resolution to the matter:

1. a reprimand
2. a fine in the amount of \$500
3. publication of the disciplinary action in *Statements*

The committee asks that you indicate whether or not you are prepared to accept this resolution by signing the enclosed copy of this letter and returning it by June 20, 2007. ***If you accept this resolution, it will be referred to a one-member professional conduct tribunal for ratification. If you reject the resolution, the matter will be referred to a professional conduct tribunal for a formal discipline hearing. At that time, the committee will seek disciplinary penalties, as well as the costs of the hearing, if a violation of the Code is proven. A non-response will be considered a rejection of this resolution.***

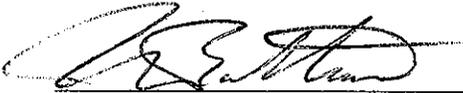
Please refer to By-Law Four, Article 9, for further information about the discipline process. A copy of Article 9 has been enclosed herein.

Yours truly,

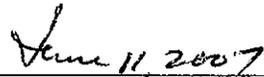


 Janice Charko, CGA
Chair, Discipline Committee

I accept the proposed resolution.



Signature



Date

I reject the proposed resolution.

Signature

Date