

CHARTERED PROFESSIONAL ACCOUNTANTS OF ONTARIO

CHARTERED PROFESSIONAL ACCOUNTANTS OF ONTARIO ACT, 2017

TO: Syed A.A. Shah, CPA, GCA

AND TO: The Discipline Committee of CPA Ontario

The Professional Conduct Committee of CPA Ontario hereby makes the following amended Allegations of professional misconduct against Syed A.A. Shah, CPA, CGA, a member of CPA Ontario:

1. That the said Syed A.A. Shah, in or about the period January 2021 to May 2022, failed to take appropriate measures to maintain and protect confidential information of his clients and former clients and to ensure that access to such information is limited to those with legitimate purposes to access the information, contrary to Rule 208.3 of the CPA Ontario Code of Professional Conduct, in that he did not maintain basic IT security and password protocols for computers and smartphones that were readily accessible at his firm.
2. That the said Syed A.A. Shah, in or about the period November 2018 to September 2023, while providing accounting services to the public, did contravene the provisions of the CPA Ontario Bylaws and Regulations contrary to Rule 101 of the CPA Ontario Code of Professional Conduct in that he engaged in the practice of public accounting or provided accounting services to the public through entities that are not registered with CPA Ontario.

Dated at Markham, Ontario this 8th day of October 2024.

MMiranda

M.S. MIRANDA, CPA, CA – DEPUTY CHAIR
PROFESSIONAL CONDUCT COMMITTEE